

15992 U.S. PTO  
051904**UTILITY PATENT APPLICATION TRANSMITTAL**

(only for new non-provisional applications under 37 CFR §1.53(b))

Mail Stop Patent Application  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

15364 U.S. PTO  
10/849089

051904

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail in an envelope addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

May 19, 2004

Date of Deposit

Signature

  
EL 964822105 US

Express Mail No.

Transmitted herewith for filing is the patent application under 37 CFR 1.53(b) of:

AVENTIS DOCKET NO.: **DEAV2003/0033 US NP**  
INVENTORS: **NAZARE, et al.**  
TITLE: **Azaindole-derivatives as factor Xa inhibitors**

**APPLICATION ELEMENTS**

1.  \*Fee Transmittal Form (e.g., PTO/SB/17)  
*(Submit an original and a duplicate for fee processing)*
2.  Specification Total Pages   
*(preferred arrangement set forth below)*
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings *(if filed)*
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3.  Drawing(s) (35 U.S.C. 113) Total Sheets
4.  Oath or Declaration Total Pages 
  - a.  Newly unexecuted (original or copy)
  - b.  Copy from a prior application (37 CFR § 1.63(d))
    - i.  DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR §§ 1.63(d)(2) and 1.33(b).
5.  Power of Attorney Total Pages 
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 CFR § 1.63(d))
6.  Microfiche Computer Program (*Appendix*)

7. Nucleotide and/or Amino Acid Sequence Submission  
*(if applicable, all necessary)*
- Computer Readable Copy
  - Paper Copy (identical to computer copy)
  - Statement verifying identity of above copies

If a **CONTINUING** Application, check appropriate box, and supply the requisite information:

Continuation     Divisional     Continuation-in-part

of prior application no: \_\_\_\_\_, filed \_\_\_\_\_

Examiner \_\_\_\_\_, Group/Art Unit \_\_\_\_\_.

(The cross reference has been/will be inserted on page one of the specification).

- This application claims the benefit of U.S. Provisional Application No. 60/507,141, filed September 30, 2003 and claims priority to European Application No. 03011304.7, filed May 19, 2003.
- Incorporation By Reference** (useable if filing a continuation/divisional and a copy of the declaration from the prior application is enclosed.)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

#### ACCOMPANYING APPLICATION PARTS

7.  Assignment Papers (cover sheet & document(s))
8.  37 CFR §3.73(b) Statement  
*(when there is an assignee)*
9.  Verified English Translation Document (*if applicable*)
10.  Information Disclosure Statement (IDS)/PTO-1449       Copies of IDS Citations
11.  Preliminary Amendment
12.  Return Receipt Postcard (MPEP 503)  
*(Should be specifically itemized)*
13.  \*Small Entity Statement(s)       Statement filed in prior application,  
*(PTO/SB/09-12)* Status still proper and desired
14.  Certified Copy of Priority Document(s) – 03011304.7 of May 19, 2003.  
*(if foreign priority is claimed)*
15.  Other: EPAVE – Application Data Sheet

#### CORRESPONDENCE ADDRESS

- Customer Number or Bar Code Label

**005487**

*(Insert Customer No. or Attach bar code label here)*



Jiang Lin, Reg. No. 51,065  
Agent for Applicant

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15000 U.S.P.T.O.  
51904

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2004

Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 770.00)

## Complete if Known

Application Number	Not Yet Known
Filing Date	Herewith
First Named Inventor	NAZARE
Examiner Name	
Group / Art Unit	

Attorney Docket No. DEAV2003/0033 US NP

METHOD OF PAYMENT (check all that apply)				FEE CALCULATION (continued)																																																	
<input type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None				<b>3. ADDITIONAL FEES</b>																																																	
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<input checked="" type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Credit any overpayments <input checked="" type="checkbox"/> Charge any additional fee(s) during the pendency of this application <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account				1051 130    2051 65    Surcharge - late filing fee or oath 1052 50    2052 25    Surcharge - late provisional filing fee or cover sheet 1053 130    1053 130    Non-English Specification 1812 2,520    1812 2,520    For filing a request for reexamination 1804 920*    1804 920*    Requesting publication of SIR prior to Examiner action 1805 1,840*    1805 1,840*    Requesting publication of SIR after Examiner action 1251 110    2251 55    Extension for reply within first month 1252 420    2252 205    Extension for reply within second month 1253 950    2253 465    Extension for reply within third month 1254 1,480    2254 725    Extension for reply within fourth month 1255 2,010    2255 985    Extension for reply within fifth month 1401 330    2401 160    Notice of Appeal 1402 330    2402 160    Filing a brief in support of an appeal 1403 290    2403 140    Request for oral hearing 1451 1,510    1451 1,510    Petition to institute a public use proceeding 1452 110    2452 55    Petition to revive - unavoidable 1453 1,330    2453 650    Petition to revive - unintentional 1501 1,330    2501 650    Utility issue fee (or reissue) 1502 480    2502 235    Design issue fee 1503 640    2503 315    Plant issue fee 1460 130    1460 130    Petitions to the Commissioner 1807 50    1807 50    Petitions related to provisional applications 1806 180    1806 180    Submission of Information Disclosure Stmt 8021 40    8021 40    Recording each patent assignment per property (times number of properties) 1809 770    2809 375    Filing a submission after final rejection (37 CFR § 1.129(a)) 1810 770    2810 375    For each additional invention to be examined (37 CFR § 1.129(b)) 1801 770    2801 375    Request for Continued Examination (RCE) 1802 900    1802 900    Request for expedited examination of a design application																																																	
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## SUBMITTED BY

Complete (If applicable)

Name (Print/Type)	Jiang Lin, Reg. No. 51,065	Registration No. (Attorney/Agent)	51,065	Telephone	(908) 231-3582
Signature				Date	May 19, 2004

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